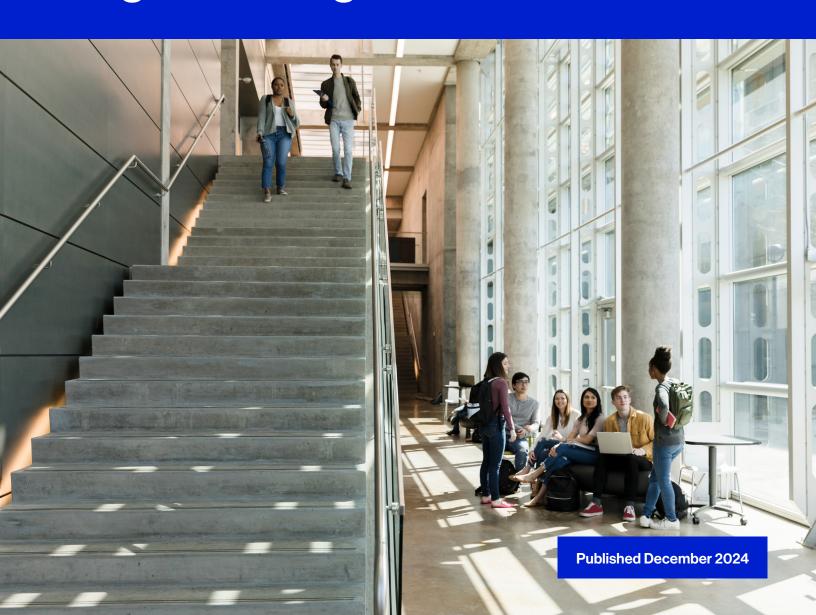


Top Risks Report: Insights for Higher Education



Each year, United Educators (UE) members share their institution's most pressing risks in the Top Risks Survey. This report reflects responses from 194 colleges and universities that completed the survey between August and December 2024.

Key insights from the survey responses:

- Enrollment regained its spot as the top risk in higher education; 71% of respondents listed it as a top risk, increasing from 67% last year. Data Security, ranked first last year, dropped to second this year with the biggest decline from 73% of respondents to 56%.
- Regulatory and Legal Compliance (Non-VAWA/Title IX) saw the biggest increase, jumping 8 percentage points to 29% of respondents, or fifth overall. This increase may reflect federal and state governments' increased attention on higher education.
- Nine risks also ranked among last year's top risks, suggesting a consistent risk landscape. Three risks are new to this year's list: Public Safety was ninth (11th in 2023), General Premises Safety tied for 10th (19th in 2023), and Political and Societal Pressures tied for 10th (new in this year's survey).
- Because of the changing political, social, and economic landscape, External Pressures split into two distinct risks this year: External Economic Pressures (20th) and Political and Societal Pressures (tied for 10th). If External Pressures still counted a single risk, it would have ranked eighth.
- Risks were mostly consistent across all Carnegie Classification groupings. However, research universities were more likely to cite Data Security, Athletics, and Academic Research as top risks and less likely to cite Enrollment and Facilities and Deferred Maintenance as top risks.

Top 10 Risks

- **Enrollment**
- Data Security
- Operational Pressures
- Recruitment and Hiring
- Regulatory and Legal Compliance (Non-VAWA/Title IX)
- Facilities and Deferred Maintenance
- 7. Student Mental Health
- Funding
- Public Safety
- 10.* General Premises Safety
- 10.* Title IX
- 10.* Political and Societal Pressures

This year's survey also asked respondents to rate seven emerging risks drawn from topics of growing concern even if they were not identified as "top" risks in past surveys. Adversarial Regulation and Political Climate and Instability were perceived as the two most significant emerging risks, reflecting public and governmental skepticism over higher education.

Use the data below to start discussions about your institution's top risks and benchmark against peers. Each topic includes links to UE resources, some of which require a member login.

^{*} Three risks tied for 10th.

Percentages in this report show the percent of respondents identifying a given risk. Because respondents listed five risks, percentages do not total 100%.

01. Enrollment

71%

Risks affecting new student admission and retention of current students

UE Resources:

Reputational Risk Toolkit

Avoid Misrepresentations to Prospective and Current Students Risk Management Steps in Response to the Supreme Court's Admissions Ruling

Ensure Integrity in Your Admissions Process to Help Avoid Claims

Mitigation Efforts:

- Launch innovative academic programs aligned to market demand.
- Update online program and course strategy and offerings.
- Change discounting and pricing strategies.
- Reduce admission selectivity.
- Strengthen relationships with local schools and transfer partners.

02. Data Security

Information technology risks such as data breaches, phishing, accidental disclosure of personal data, ransomware, and hacking

UE Resources:

Data Security Course Collection

Crisis Response: A Library of Tabletop Exercises

Generative Artificial Intelligence Use Policy or Guidelines for **Employees**

Don't Take the Bait: Defending Institutional Data From Phishing Manage the Risks of Remote Learning in Higher Education

Mitigation Efforts:

- Increase compensation to hire and retain cybersecurity staff.
- Train on data security more frequently.
- Create or update a data governance strategy.
- Conduct third-party risk assessments.
- Practice ransomware and network outage response plans.
- Implement artificial intelligence use guidelines.

03. Operational Pressures

Risks related to financial stability, the institution's business model, and constraints on the institution's processes

UE Resources:

Enterprise Risk Management Resource Collection Guide to Manage Risks Associated With Program Change Checklist: Program Reduction or Discontinuance Checklist: Change of Institutional Control Checklist: Institutional Closure

- · Consider partnerships, mergers, and acquisitions.
- Evaluate budget model and budgeting practices.
- Review tuition pricing model and financial aid strategy.
- Expand auxiliary revenue streams.
- Reorganize institutional operations.
- Improve cost controls.

O4. Recruitment and Hiring 31%

Risks related to maintaining a talented staff and faculty workforce

UE Resources:

Employee Offboarding Guide

Using Artificial Intelligence Tools in the HR Lifecycle: Risks to Consider Considerations in Skills-Based Hiring

Checklist: Assessing Your Employee Performance Evaluation System

Avoid Sex-Based Faculty Pay Discrimination

Employee Burnout Is a Risk Management Issue

Mitigation Efforts:

- Increase pay, benefits, and work schedule flexibility.
- Conduct a compensation study and act on its findings.
- Outsource administrative functions to third parties.
- Refocus performance evaluations on engagement and career growth.
- Conduct offboarding interviews to find ways to improve the employee experience

05. Regulatory and Legal Compliance (Non-VAWA/Title IX)

Risks involving noncompliance with federal, state, and local regulations and other elective accountability authorities

UE Resources:

Identify Barriers to Completing Mandatory Employee Training **Know Your Support Animals**

Manage Faculty Who Resist Disability Accommodations Requests Understand Your Duty to Make Technology Accessible

Mitigation Efforts:

- Centralize compliance functions.
- Seek specialized outside counsel.
- Engage regulatory bodies for guidance.
- Update electronic privacy and record retention policies.
- Evaluate compliance policies and procedures in complex areas like athletics and research.

06. Facilities and Deferred 28%**Maintenance**

Risks concerning outdated facilities and new construction, including inadequate facilities to achieve the institution's mission

UE Resources:

Slips and Falls Resource Collection

Checklist: A Guide for Reviewing Contracts

Reduce Serious Injuries in Residence Halls Through Informed Facility Management

Take Inventory of Your Off-Campus Real Estate

Adopt Tree Assessment and Removal Policies and Practices

- Conduct routine campus hazard walkthroughs.
- Implement a hazard reporting system.
- Launch a capital campaign for maintenance and new construction.
- Review and update facility maintenance contracts.
- Partner with property management and development companies.
- Create a prioritized or strategic deferred maintenance plan.

07. Student Mental Health

Risks related to students' mental and emotional well-being, including mental illnesses and suicide

UE Resources:

Student Mental Health Resource Collection Student Mental Health Course Collection Crisis Response: A Library of Tabletop Exercises ProResponse®

Prevent and Respond to Fentanyl Overdoses Checklist: Evaluating Your Mental Health Policies

08. Funding

Risks to core revenue streams

UE Resources:

Enterprise Risk Management Resource Collection

09. Public Safety

Risks related to crime and safety for the institution community and guests, including campus assailants

UE Resources:

Campus Violence Resource Collection Targeted Campus Violence: Recognize the Warning Signs Crisis Response: A Library of Tabletop Exercises ProResponse®

Alternative Response Approaches for Campus Safety Checklist: Active Shooter Physical Security Measures Checklist: Preventing and Preparing for Active Shooters on Campus

Mitigation Efforts:

- Train faculty and staff to support students' mental health.
- Launch social skill development programs.
- Hire remote counseling providers to expand capacity.
- Create mental health programs to support marginalized identity groups.
- Assess and improve the institution's mental health infrastructure.
- Practice substance misuse and suicide response plans.

Mitigation Efforts:

- Invest in enrollment and retention strategies for new demographic groups.
- Increase philanthropic fundraising.
- Lobby state and federal governments for additional support.
- Launch or expand auxiliary revenue sources.
- Diversify sources of funded research.

- Train employees on violence de-escalation.
- Increase monitoring of campus grounds and facilities.
- Implement new campus safety technologies.
- Enhance campus and building access security.
- Install barriers between campus and public
- Practice crime and safety incident response.

10. General Premises Safety 12%

Risk of injury and death caused by slips, trips, falls, and other campus hazards, including public use of facilities

UE Resources:

Slips and Falls Resource Collection

Slips, Trips, and Falls Course Collection

Checklist: Facilities Use Policy and Practices Review

Checklist: A Guide for Reviewing Campus Contracts

Take Care During Campus Repairs and Construction

Checklist: Investigating Accidents Causing Personal Injury

Mitigation Efforts:

- Train the campus community to report safety hazards.
- Improve snow and ice removal plans.
- Implement routine campus hazard walkthroughs.
- Review and update third-party contracting procedures.
- Review processes for investigating and documenting incidents.
- Analyze incidents and near misses for trends in vulnerabilities.

10. Title IX

Risks related to sex discrimination

UE Resources:

Title IX and VAWA-Campus SaVE Act Resource Collection

Title IX Course Collection (2024)*

Title IX Course Collection (2020)*

ProResponse®

Campus Sexual Misconduct and Student Social Media Posts

*Due to the varied legal landscape, UE can't advise whether an institution should comply with the 2020 or 2024 regulations. Consult with expert legal counsel in your jurisdiction to make this determination.

Mitigation Efforts:

- Ensure there's adequate staffing and compensation in the Title IX office.
- Elevate qualification standards of the lead Title IX coordinator.
- Expand Title IX training/information sessions.
- Identify third-party advisors to help with sensitive or complex Title IX investigations.
- Stay adaptable for further potential changes to Title IX regulations.

10. Political and **Societal Pressures**

Risks related to public distrust of education, political attacks, and global instability

UE Resources:

Reputational Risk Toolkit

Guide to Creating and Improving a Campus Crisis Communications Plan

Establishing an Office for Diversity and Inclusion on Campus

Preventing and Responding to Campus Hate and Bias Incidents

When to Cancel or Alter Study Abroad Programs

- Communicate the value of education to students, families, and community leaders.
- Establish criteria for making institutional statements.
- Engage faculty and staff in public communication guidelines.
- Identify and monitor potentially controversial programs.
- Increase programming for the surrounding community.

Rank From 2020 Through 2024

Rank	2024 Top Risks	Rank in 2023	Rank in 2022	Rank in 2020-21
1	Enrollment	2	1	1
2	Data Security	1	2	2
3	Operational Pressures	4	4	4
4	Recruitment and Hiring	3	3	14
5	Regulatory and Legal Compliance (Non-Title IX/VAWA)	8	7	7
6	Facilities and Deferred Maintenance	6T	8	6
7	Student Mental Health	5	5	New in 2022
8	Funding	6T	11	5
9	Public Safety	11	9	10
10T	General Premises Safety	19	Notranked	15
10T	Political and Societal Pressures		New in 2024	
10T	Title IX	9	10	8

Emerging Risks

T-ranking tie

Respondents identified emerging risks in past surveys. This year, survey participants ranked some of the most frequently cited emerging risks in order of significance to their institution.

Political risks — Adversarial Regulation and Political Climate and Instability — scored highest among the emerging risks. The survey was open before and after the Nov. 5, 2024, elections. The election did not appear to influence how respondents view these two emerging risks.

Respondents ranked Artificial Intelligence (AI) differently than other emerging risks. AI's rankings clustered at the high and low ends of the scale, while other emerging risks clustered around a single ranking. This may reflect a difference in perspective. Many respondents may consider it a major emerging risk, while many others see it as another technological tool.

While institutions ranked most emerging risks similarly regardless of institution type, two trends arose:

- Athletics scored highest for NAIA institutions, followed closely by NCAA Division I institutions. Institutions with NCAA Division II and III athletics scored in the middle, and NJCAA colleges and institutions with no athletic programs mostly declined to identify Athletics as an emerging risk.
- Research universities institutions with a High or Very High Research Activity Carnegie Classification scored Academic Readiness much lower than other four-year institutions, 2.3 to 4.0. Research universities' selective admission standards may insulate them from declining college readiness among high school graduates.

Risk	Average	Score*		
Adversa Regulat		4.6		
Political and Inst	Climate ability	4.3		
Employe Well-Be		3.9		
Al		3.7		
Academ Readine		3.5		
Athletic	S	2.4		
Climate	Change	1.6		
*Scores can range from 0 to 7, with a higher score indicating a more significant emerging risk. 0 indicates the topic isn't perceived as a risk.				

Tactics to Manage Emerging Risks

Respondents offered their tactics for managing their most significant emerging risk:

Adversarial Regulation

- Track local and state regulatory changes.
- Engage state and federal legislators.
- Increase employee compliance training.
- Hire additional compliance and legal counsel staff.
- Identify gaps in policies and update them as needed.

Political Climate and Instability

- Review expression and speech policies and practices.
- Expand extracurricular political programming.
- Train staff and student leaders on facilitating dialogue.
- Launch public relations campaigns to engage the surrounding community.
- Increase security in sensitive locations.
- Prepare for decreases in grant funding.

Employee Well-Being

- Conduct pay studies and implement their recommendations.
- Provide additional paid time off.
- Increase work location flexibility.
- Expand mental health support services.

Artificial Intelligence

- Convene academic and administrative AI committees.
- Hire a senior leader with AI expertise.
- Implement new academic AI policies and plagiarism detection tools.
- Create a process for vetting new AI tools.
- Train employees on data security.

Academic Readiness

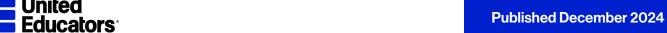
- Hire more academic advisors and tutors.
- Revise the first-year curriculum.
- Launch pre-matriculation programs for underprepared students.
- Expand the behavioral intervention team.

Athletics

- Convene an athletics strategy committee.
- Reimagine the athletics financial model.
- Hire more athletics administrative staff.
- Change athletic conferences or divisions.

Climate Change

- Practice tabletop exercises for weather emergencies.
- Modernize emergency communication systems.
- Establish green building standards.
- Remove vegetation near buildings.
- Install fire-resistant building materials.



To learn more, please visit www.ue.org.

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